7 The Use of Natural and Linguistic Concepts in Psychological Explanation¹

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and will indicate that the use of a particular set of concepts to define a debate can lead to inappropriate conclusions. What these themes have in common is the stress placed on current debate concerning the nature of language learning everyday language for discussions of the philosophy of mind these is that changing views about animal learning are leading nature of the alternatives. Empiricist views are characterised to suffer from a particular misconception concerning the The contemporary discussion of rationalist v. empiricist rationalist position. the types of theory which can be seen as an alternative to the Finally I will consider in some detail certain features of the consequences I will develop three main themes. The first of are of a restricted kind. In analysing this position and its theories of animal learning which are taken as paradigmatic theories of learning, particularly among linguists, seems to me frameworks so derived behaviour are developing and will suggest that the conceptual to more complicated theories of animal behaviour. Secondly will illustrate the way in which cognitive models of human equating human learning with animal learning and the are a more appropriate tool than

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well chology. The following quotation is representative: The illustrated excessive polarisation which is my main concern is by Chomsky's attacks on empirical psy-

A system of rules for generating deep structures and relating them to surface structures, in the manner characteristic of natural languages, simply does not have the properties of an associative net or a habit family. (Chomsky, 1967a, p. 10)

myself to be an empirical psychologist, but I do not view my there is an increasing tendency to attempt descriptions of the laws control of behaviour. There are schools of psychology which task as simply that of gathering data and formulating genera describing the behaviour. mechanisms underlying animal behaviour rather than simply available. which creates this view, and this approach is not the only one preoccupation with a particular approach to animal learning over-preoccupation is quite correct in suggesting that such views are a result of do see their task in this way but I do not think that Hamlyn laws concerning that data, nor that of the prediction and than associative much richer concepts available leads us into more interesting topics. However, there are the latter, psychology theories of innate ideas on the other, then we might prefer If we are faced with a 9 animal learning There is a strong feeling nowadays that the general rationalist position if for no other reason than it or simple S-R theory on the one hand and nets with animal learning. s available to empirical psychologists or habit families. I would consider choice purely between Skinnerian 21.0 qualitatively inadequate, It is rather and

The traditional aim of studies in animal learning has been to formulate general laws on the basis of carefully controlled experiments with the belief that these laws will generalise to real-life conditions. As Seligman (1971) observes, the methodology has involved creating arbitrary situations which are 'uncontaminated by past experience the organism might have had or by any special biological propensities which the animal may bring to it'. (p. 407) The danger in this is 'that the laws so found will not be general, but peculiar to arbitrary events'. (p. 407) Seligman challenges the traditional

shock, pigeons to peck a key in order to avoid shock, compared with observes that it is difficult to the sounds differ in frequency but not position. Finally going left or right but not if the choice was between making a sounds can be learned if the response is a choice between discriminate itself in order to escape from a other stimuli occurring at the association was learned, however, between auditory or visua notion of an animal's 'preparedness' for a particular learning learning an escape response. learning, such as the difficulty in persuading a cat to scratch learned subsequent nausea. nausea does not occur until an by X-radiation at the associate the taste of saccharine-water with nausea induced ing, Garcia and his of the classical, instrumental, discrimination and which belong to certain classes and will readily learn to make cases specific situation. He argues that animals in general, and in certain idea that the laws of learning apply equally to the pairing of learning paradigms. Thus, in the realm of classical condition In support of his position he cites a number of studies in each particular classes of responses to certain classes of stimuli contra-prepared' and some which are neutral stimulus with any response. He develops instead the studies showing similar 'prepared' associations contrast with others which are but not between taste and shock. Seligman quotes between between species, will readily associate together stimuli lights On the other hand, a relationship was associates have shown that rats will sounds time or noise and subsequent electric time of the X-radiation and the of drinking even though a spatial separation restrictions in train rats to press a bar or hour after the event. box. In training a dog to in this respect. instrumental avoidance of the No

order to adequately formulate the restrictions on the laws a with a few such qualifications the general laws of learning can species-specific defensive repertoire can be used as avoidance suggested that a real organism. Thus, Seligman cites Bolles who has recently These restrictions on learning make sense in the context of rescued It would be a mistake, and only maintained in their dominant position. responses chosen however, to imagine that from the natural

model of the natural behaviour of each species will have to be constructed within which the dynamics of the particular situations in which the laws apply will have a relatively small and uninteresting role, compared with a description, say, of the strategies open to a species in a learning task and the decision mechanism leading to the choice of a strategy.

THE ROLE OF MODELS IN HUMAN EXPERIMENTAL PSYCHOLOGY

not necessarily anatomically) distinct memory stores to account for such phenomena (Morton, 1970). When one supposed, however, that the answer is simply to use more adequate account of performance becomes very complicated. is necessary to postulate at least four functionally (though such an apparently simple task as remembering a list of digits approach is needed as well, one in which the complexities of different strategies which the subject might adopt can affect considers the effects of instructions and the way in which mode of responding can affect performance dramatically. It over a short interval, the conditions of presentation and the a mechanism such as the brain are faced. It is no longer natural application nonsense syllable learning specifically intend to exclude. It is without a model of brain function. For example, even with human learning is 'effort after meaning', which studies arbitrary — nonsense syllables may be thought of as 'contra-prepared' stimuli for humans. The most potent influence on results. The drawback with such work is that the situation is can now be seen to have produced only The same conclusions are inescapable for the study of human results, stimuli in surprising, to understand data or to investigation of the learning of nonsense syllables The enormous amount of activity which has gone to real the theoretical teaching and learning. It must not be our studies of learning. then, that such work has had little framework required for evaluate experiments marginally interesting different

In addition it is gradually being realised that topics such as 'memory', 'perception', and 'psycholinguistics' cannot be studied in isolation. This is because our models of one function become contingent upon performance limits in

subjects discovered a strategy which avoided them having to the required in consequence are rarely made explicit by experimight be due another area. This realisation has affected the methodology results are often discussed. menters but are apparent from the way in which discrepant part of the system would lead me to predict such-and-such a thinks it does, then the properties which Y suggests for that is more like, 'If this part of the system operates in the hypothesis. The kind of prediction which is now being made tested with the subsequent acceptance or rejection of the a restricted hypothesis from which a prediction is made and we use. Psychology can rarely work nowadays on the basis o either. relevant parts Ħ, The kinds of converging operation which are to an error in the characterisation of either of situation.' The falsification of the prediction of the system or simply because the way X

original specification, recognition and the production of words and, as part of its mechanisms allowed the resolution of the dilemma (Morton, stimulus effects. the response is the same, strongly supported the influence of which people used more frequently or had given previously in a task as erroneous responses were easier to recognise could effects (Rubenstein and Aborn, 1960). Evidence that words or visually for a brief time. At one time there was debate as seemingly contradictory conclusions might be reconciled. As situations. accommodate the light of the resulting model all the data made sense. The construct located between stimulus mechanisms and response had no influence on the quency words high frequency an example we can consider the phenomenon that words of 1964). This construct is supposed to be involved in both the finding that the visual presentation of, say, PHRASE led to facilitation of the subsequent recognition of the word but be taken as supporting the influence of response factors. The The power of a psychological model lies in its ability to whether such results were due to stimulus or response In doing this, sets of when they are presented acoustically in noise data or observations from a wide variety are The postulation, recognised more dealt with homonyms separately. recognition of FRAYS, tor other reasons, of a data which had led easily than low frefor which 5 of

model made it possible to refer to a process, termed the 'logogen system', for which there was previously no single term in the psychological literature. The concept to which 'logogen' refers can only be defined in terms of the other description was laboured in previous systems. operators lies in their ability to express concepts whose process seems to me to bear a resemblance to the invention order end, as the overall model becomes more complex, it is highly model will be modified to accommodate new data but in the that this concept, too, will become inadequate. Initially the new of model and their relationships. In time we will find to maintain the that new concepts and new terms will be required in operators in mathematics. precision of our descriptions. This The power of such

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justify simpliciter' which range of disparate cases which fall under the general heading difficulties that Hamlyn faces are due to an approach which the concept might be useful, without really being able to ledge simpliciter' remains undefined, except by exclusion and of "having learned to ..."". Unfortunately the term 'know that this is too general a concept, suggesting instead a defini-tion based on 'the acquisition of knowledge'. In analysing organism as a result of experience or stimulation, and argues 'learning' to include any modification of behaviour in an difficulties phenomena. acute expect, components of such as I said, in the continual need for our conceptual framework to be simple and have indicated the way in which they can develop and the reason for this development. The reason lies, this definition he is fearning. to expand and in the need for new terms which describe the I have attempted to show that empirical models do not have are left with the feeling that the term makes sense, that limitations as such a then, He notes that some psychologists have in his attempt to give a precise definition of Professor Hamlyn has illustrated some of these to find that the use of natural language has faith. I forced back to a concept of 'knowledge a tool for conceptual frameworks. would argue discussing that some psychological We should of the taken

psychological model, are clumsy to express. distinctions, intuitively clear or clear within the context of a it applies and the environment appropriate for the concept in the concept a precise definition. A concept can only be adequately defined in terms of the environment within which this information can be modified before we can begin to give state. We will need to develop ideas about the way in which information is structured in the brain, and the way in which suggest, qualifications. The restricted sense of 'knowledge' which Hamlyn needs to use has no word to describe it, nor, I constructs and we should not be surprised to find that certain tend to subsume a number of psychological functions or question is that of function have been developed well beyond their current task as long as we hedge them qualifications. The restricted sense assumes that natural language terms are appropriate for the will it have until psychological models of brain brain function. Natural language terms them around with sufficient

empirical approach to language. Their argument is based on two main premises which I will dispute in turn. First, that the nature of the distinction which linguists make between since it was only a sketch of how some of the rationalist objections might be met. My concern is more with the principled attack which Shotter and Gauld make on the competence and performance models implies that empirical tests of grammars are impossible. Second, that the distinction defence of Empirical Psychology' which has been the subject of a critique by Shotter and Gauld (1971). I do not intend to empirical theories of language acquisition. Such premises, and and surface structure, provides us with logical objections to made, within generative grammar, between deep structure discuss here complement his paper by considering the way in which the rationalist theories of language learning. I would like RATIONALISTS AND LANGUAGE LEARNING
Hamlyn devotes the body of his paper to a discussion of Broadbent (1970) has recently published a paper entitled 'In associated arguments, are by now familiar to us through works of Chomsky (passim) and other writers. Shotter have been the particular theory Broadbent puts forward raised recently by certain psychologists

appreciate appears to me to be symptomatic of a widespread failure to and Gauld's endorsement (as psychologists) of such views conceptual framework. some of the ambiguities inherent in the underlying

LEVELS OF DESCRIPTION IN LINGUISTICS AND

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quate and misleading. Instead I want to suggest that the may be characterised as follows: distinction. For present purposes the three kinds of model debate can be made more fruitful in terms of a three-way different aims of linguists and psychologists, is both inadedistinction between section I would like to argue that the two-way attempts have competence and performance have been made to characte to characterise models,

produced the data. but which need bear no resemblance to the mechanism which description of a body of data, gathered in a specified way, which has as a criterion of success some notion of parsimony Αn 'idealisation' which is notionally abstract. This is

sents the working of that part of the brain concerned solely with the understanding and production of language Model of Potential specific to language, which repre-

themselves be considered in terms of the three levels). in which the working of the Model of Potential is influenced Potential and indicates, at least in qualitative terms, the way A Model other parts of ġ Realisation the cognitive apparatus, which includes the Model of (which might

analogy, sometimes drawn, between linguistic and numerical sometimes with the connotations of a Model of Realisation. Potential. The term 'performance model' has sometimes been competence model, sometimes with the intent of an idealisa connotations now surrounding the terms 'performance' and but the introduction of new terms does seem to 'competence'. Thus a 'grammar' has been equated with a The words 'Potential' and 'Realisation' may not be ideal ambiguities can with the connotations of the Model of Potential and and sometimes with the implication of a Model of be seen from an examination of the be required

strated by our inability to multiply two 5-digit numbers as 'two \times two = four'. corresponds not to the set of tables but rather to a theory of discussed previously (Morton, 1968), this analogy seems to multiplication tables and performance limitations are illusuch things as memory and attention distinguishes between Natural Numbers, which would not include such statements can approximate our competence in performance. As I have together in our heads; with pencil and paper, however, we petence ostensibly that it illustrates the way in which limitations of ability (e.g. Chomsky, 1963). The point of the analogy is ill-formed. S supposed to and performance. In the analogy If the grammar be represented by S 211 idealisation the our then set com-

sound pattern of the stimulus, or treat the sentence word by subjects were able to adopt a particular strategy whereby our tial) was bypassed. Thus, in an experiment involving memory normal language processing system (i.e. the Model of Potenbecause operation of a grammatical rule in an experimental situation, success, experimental psychologist. The failure, or for that matter the on psychologists are for sentences, the subjects may be able to recall the actual level of model at which a result may be interpreted is not the notion of a crucial experiment properly suspect, but the is not necessarily crucial for the main hypothesis. Not only is noted grammar and mechanisms in the brain. Parenthetically, it should be emphasised that the restrictions thing about the description, draw any conclusions about language learning or consistency. The linguist cannot, on the basis of his idealised there is any direct correspondence between the rules of the objection if grammar There need to be no objection to the linguist regarding his function and the psychologist cannot conclude any the transparent. of of the possibility that, in the particular task, the of as methodological any psycholinguistic experiments are confounded an idealisation. Nor should there psychologist attempts to determine whether idealisation (except, perhaps, its relevance) one test, say Thus, more severe than this. I have already competing interpretations of a problems an attempt to detect the which confront What is required is the any

word rather than analysing the syntax and meaning of the sentence as a whole. In this case, if we failed to detect any effects of a grammatical variable, we might conclude something about the flexibility of the Model of Realisation rather than concluding that the Model of Potential operates in a manner different from that suggested by the grammar.

In arguing that empirical tests of generative grammars are impossible in principle, Shotter and Gauld move somewhat freely between the three levels of description. They support their position as follows:

applying the rules in particular cases). (p. 462) terised. Somewhat analogously may sometimes make mistakes, but their errors would have the theory of it'. Persons who have 'mastered' such rules characterised. As Ryle puts it Efficient practice precedes implicit in These idealisations, resembling language) and his performance (his successes and failures in revealed by his 'grammatical intuitions', of the rules of his derived from axioms Chomsky regards his tween a speaker's grammatical competence (his mastery, tendency to show that the rules had been mischaracrules - as for instance those of logic performance before they 'generative' in mathematico-logical systems. the rules by which theorems are we may distinguish begrammatical rules can be -may be formally

The dialogue, in which case they use a rather special sense of observer since idealisations are always implicit in the use them (by ability to formalise rules is irrelevant to the ability to learn or to be idealisations. But in this case we can ask the question formally characterised'. It is clear that they intend the rules 'Characterised by who?' Scarcely by the learner since the in the above quotation, we may examine the way in which Notwithstanding the 'somewhat' which qualifies the analogy performance'). So, contrary to their intentions, the authors' (unless by 'implicit' they imply the rules ... may be implicit in performance before they can be confusion between the three levels of model is revealed way in which the authors use the rationalist view), and scarcely sense of the term the Meno bу 'rules'. data

statement only makes sense in terms of a contrast between Models of Realisation and Performance.

There are similar difficulties when we ask in what sense someone may be said to have 'mastered' the rules even if it is in principle possible for the rules to have been 'mischaracterised'. If by 'rules', we are to understand throughout the sense of idealisations, then the statement is tautologically correct, since there can be no distinction between the rules and their characterisation. In this case the later description of a speaker's performance as 'applying the rules' is inappropriate as it is not one of the properties of idealisations that they can be part of a mechanism. If instead we are to take 'mastering the rules' as synonymous with 'learning the language' then, in the analogy, the speaker's grammatical competence would be on the level of a Model of Potential rather than that of an idealisation.

In either of these alternative interpretations the implication is clear that the learner must always end up with the same rules as those of the adults in his environment. Such a stance has not been adopted by all linguists working within the framework of generative grammar. Postal, for example, in considering sound change in a language observes that rules may be added to an adult grammar. He then suggests:

Since the addition of rule R to a grammar G₁ may define a language of which the optimal grammar is not R + G₁, it follows that children in the next generation will, in such cases, learn not this but the optimal grammar. (Postal, 1968, p. 270)

King makes a similar point, claiming that a child:

can come up with a competence — an internalised grammar — that is simpler than an adult grammar yet underlies a speech output either identical with adult speech for all practical purposes or different in relatively minor ways. (King, 1969, pp. 74–5)

It is clear that these authors are talking about a mechanism not an idealisation, for in the conditions specified, the *idealisation* of the adult's grammar will change as soon as he adopts the additional rule. Thus the linguist, given no

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historical information, would characterise the adult grammar in the simpler form. Historical information could then be used to distinguish between the grammar of the adult and the competence which underlies his performance, or, in the terms I have suggested, between the Models of Potential and Realisation.

The three-way distinction can also be employed to analyse a passage where Shotter and Gauld argue that the development of functional models is dependent upon the existence of structural (idealised) descriptions. They say:

output. One could hardly hope adequate ('structural') characterisation of the grammatical tion and comprehension, could be developed without an It is difficult indeed to see how a functional theory, a chess move. (p. 463) description, it would be impossible to decide whether a machine before one had a 'tidy' description of its output 'rules' which essentially characterises that mechanisms's theory of the underlying mechanism of sentence producterms of made by the machine actually the rules of chess. For, to design a chess-playing was a permissible without such

give matter whether the teacher had a list of forbidden moves or a particular move was not permitted. (It would, of course, not chess-learning machine rather than a chess-playing machine, To start with, if one wishes to compare chess with language the appropriate analogue for a human being would be a generalise both from the opponent's moves and from its own rules for itself. Such rules the difference being that the former would have to learn the environment combination but that is not the same thing. For But such contraints scarcely constitute 'innate ideas' of chess. mechanism), just as we have to start with a machine that can understands such feedback (or, rather, that the feedback we tactics and strategies in that the rules could be indicated to of rules.) We machine ideal rules has the required effect ьy would be intrinsic in ġ. have to make sure that the machine feedback statement indicating that a would be differentiated from on the particular the mechanism + learning

example the machine may formulate a rule such as:

A pawn may move either one or two spaces forward except on the 3rd, 4th, 5th and 6th rows.

This will result in more complex computation than is strictly necessary but would not be detectable to the observer without special experimentation (such as switching to a 8 x 10 board). One may observe that many children do not consider a game of chess completed until one of the kings has actually been taken — a mischaracterisation that does not impair their game. It will also be apparent that the difficulty with a chess-learning machine would not be with the rule-learning but with the development of the strategies that increase its ability at the game.

ical idealisations for this endeavour is an empirical matter. the acquisition of a particular skill. The utility of grammatrules) but rather to suggest a mechanism which accounts for should not forget that our intuitions about grammaticality describe how the child learns a particular set of grammatical explicit idealisation. The task of the psychologist is not to ungrammatical more often by will also grammatical - all we need for that are our intuitions plus explicit to decide whether or not a particular utterance pletely independent of particular rules which characterise the mechanism's output. We do not even need to make the rules underlying mechanism' of a speaker-hearer could be comfeedback inferred develop internalised rules the nature of which could not be language learning, it should be evident how a theory of 'the I have tried to indicate how a chess-learning machine could criterion for evaluating these intuitions. (Though (even ignoring the question of which particular set of from the rules of chess. Similarly, moving back to given to children as ģ influenced by utterance. example than by a simple correction of an But neither of these require the they Realisation learn their language Model.) ₩e an

In summary then, I have tried to show in this section that discussion of the relationships between linguistic and psychological models is often based on the assumption that there are only two levels of description whereas in reality three such levels are both necessary for an understanding of the issues

and apparent in the arguments used in the discussion of the issues. Because of this, the case made by rationalists against empirical theories of language learning is considerably weakened.

THE LEARNING OF DEEP STRUCTURES

statements in favour of an innateness hypothesis: surface structure, and so needs, from some (pp. 464-5, their italics) This claim echoes Chomsky, and Katz and Postal (1964, p. 173) among others, who use similar information child cannot learn his language solely from observations of respect to the language user's mastery of the former: 'The tion between deep and surface structure and comment, with between an idealised grammar and the Potential and Realisaand Gauld repeat, to the effect that linguists have provided learner whose resemblance to a child is similar to the relation logical objections to empirical learning. These logical citions seem to be based on the implicit concept of an may now examine one of the arguments, which Shotter models. Shotter and Gauld point to Chomsky's distincshowing how the utterances are organised. These logical objecother source,

[the underlying deep structures] are part of the conceptual apparatus [the language learner] uses to specify the form of the language to which he is exposed, and not something to be acquired. It is fortunate that this postulate is tenable, since it is difficult to imagine an alternative. (Chomsky, 1967, p. 81)

data, sentences, for example, could be straints. The self-imposed restriction to regard as relevant only linguistic stage of development of empirical theories which require that only linguistic informarence in similar environments. In this way utterances which tences. empirical theories have such a requirement. At the current linguistic information from the environment. There may be An alternative is that the 'other sources' constitute nonneed and moreover the data is restricted to isolated sen-The be used by the child, however, deep relationship between active generative ĸ. language learner but not all unaffected by induced from their occurgrammars, and passive these conthere is a

accounts of language learning (see also Marshall, 1971; Morton, 1968, 1971) does not seem to justify their cavalier 1970). rejection. empiricist theories. The incompleteness of current empirical seem to present difficulties for rationalist as much as for speech of individual children can be characterised by gramclcar single trial or that incorrect inferences are never drawn. assume that the child learns the regularities of language structural relationship between, say 'Alan bit the dog' and 'The dog died'. Fortunately it is not necessary for us event and be established. Of course the language learning device would have to be able to distinguish between descriptions of an by the dog' and 'Alan bit the dog' could be distinguished. In ways such as this the principle of underlying structure could each other in their surface structure, such as 'Alan was bitten and 'Alan was bitten by the dog', could be related through the event. Pairs of utterances which more nearly resembled differ widely in their structure, such as "The dog bit Alan" which differ both in deep and surface structure (Bloom, that in the carly stages of language acquisition the The explanation of such individual differences would comments on it, to avoid trying to form It is Ξ ಕ

SCIENCE AND ART IN EDUCATION

children who do not acquire language in the normal way. produce acquisition' (p. 414). This notion is fruitful in at least one respect – it forces us to reconsider the position of using the cited, suggests that the acquisition of language is prepared relation to human learning. Schigman, in the article already the possibility of developing the notion of preparedness in Ş learning situations means that guidance on learning 'is likely significant and general about human learning processes' and unnecessarily pessimistic. In the middle of his paper he comments, 'I do not see it is possible to say anything both unnecessarily conclusions concerning human learning in general seem to be While Hamlyn's conclusions concerning the notion of innate be a matter of compromise and art'. Consider, however, concludes agrec operational criterion that 'minimal with my that the own views on language learning variability of individuals input should paper he and of

than normal children, they need different opportunities. insufficient. children have come to use language creatively. It is too early established rules), but the important thing is that provide these children with a particularly rich environment is beginnings of a justification for the belief that merely to preparedness can be trained — but we do at least have the suspicion, is probably different from that of a normal child (there is a and verb inflections. The mechanisms underlying their speech sentences with prepositional phrases, adjectival constructions grammar. After extensive programmatic coaching (20 minutes a day for 75 days), these children, some mongols and others vocabulary and comprehension but who have not developed getting promising results with children who have a reasonable means that special regard them as being not prepared for language learning. incapable of learning or simply as retarded normals we could Rather than thinking of them in some sense absolutely tell undiagnosed what the Gillian do not for example, that they are incapable of reordering They do not need more opportunity to learn Fenn know, for long-term effects of such aphasic procedures will be necessary to (personal communication disorders, had learned to example, whether language training will has been

'Preparedness' in relation to human learning is likely to turn out to be a complex concept. Some of its elements may have a strong genetic component but others are likely to be acquired. Strategies of learning and attitudes towards particular subject matter are both likely to be important. It is clear, though, that it is inefficient merely to require a poor learner to work harder.

One must agree with Hamlyn that the preconditions which must be satisfied before a particular being learns a particular thing are inconsistent in relation to our current theories and far from being understood. In our attempts to study learning we restrict the environment and in our urge to specify and control we may destroy the conditions necessary for the very phenomena we are trying to clicit and study. The consequences of this will depend upon what we do with our data. If we regard them as do adult scientists, believing in our power, then we will surely be misled. If we use them as do

at this instant to be our possible limitations. to stress what we might achieve rather than what might seem then we may make significant steps. I would prefer however attainment of a goal we have not yet fully comprehended children, treating them as yet another hint towards the

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